

How to decide Functionality of Compositions of Top-Down Tree Transducers

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What are Tree Transducers?

Top-Down Tree Transducers were invented by **Rounds** and **Thatcher** in the early 1970's as a formal model for compiler theory and linguistics.

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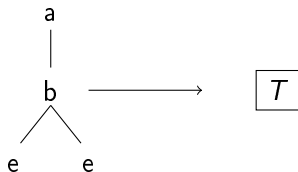
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Top-Down Tree Transducers realize *tree translations*:

input tree

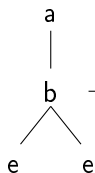


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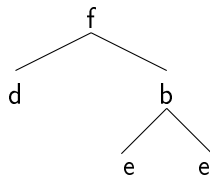
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input tree

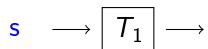


output tree



Composition of Transducers

input tree



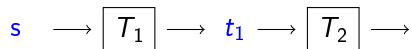
output tree



Composition of Transducers

input tree

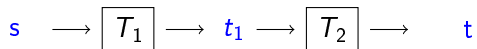
output tree



Composition of Transducers

input tree

output tree

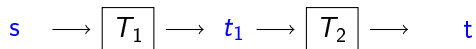


This is not ideal...

Composition of Transducers

input tree

output tree



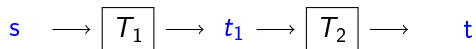
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Question: Does a deterministic transducer M (with look-ahead) that realizes the same translation exist?

Composition of Transducers

input tree

output tree



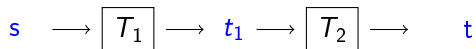
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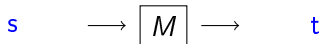


This is not [ideal](#)...

Question: Does a deterministic transducer M (with look-ahead) that realizes the same translation exist?

input tree

output tree



Main Idea

Observation: If the composition of T_1 and T_2 is not **functional** then M does not exist.

Main Idea

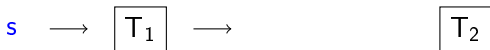
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What does **functional** mean?

input
tree

intermediate
results

output
tree

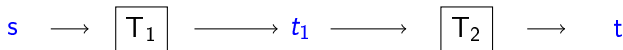


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What does **functional** mean?

input tree intermediate results output tree

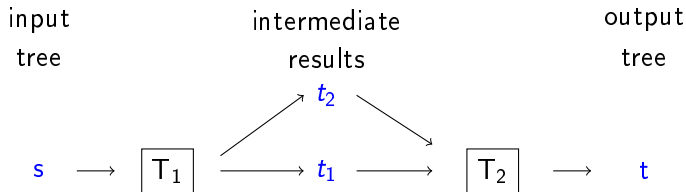


partial function

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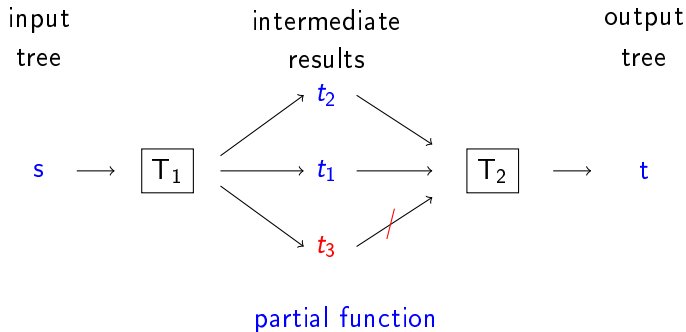


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Engelfriet: If a composition of transducers is functional then an equivalent DT^R can be constructed.

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Question:

How do we test whether or not a composition of transducers is functional?

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Idea:

- 1 Construct a transducer N with look-ahead such that
 N is functional \iff the composition of T_1 and T_2 is functional

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Ésik: It is **decidable** whether or not a transducer (with look-ahead) is **functional**

Idea:

- 1 Construct a transducer N with look-ahead such that
 N is **functional** \iff the composition of T_1 and T_2 is **functional**
- 2 Test whether or not N is **functional**

How to construct N ?

Baker has shown that linear, nondeleting transducer are closed under composition

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Baker has shown that **linear, nondeleting** transducer are closed under composition

Main challenges in the construction of N :

- 1 copying rules and
- 2 deleting rules

Copying Rules

Transducer T_1 :

$$q_1(a(x_1)) \rightarrow b(q_1(x_1)) \quad q_1(e) \rightarrow e_i \mid i = 1, 2, 3$$

Transducer T_2 :

$$\begin{array}{ll} q_2(b(x_1)) \rightarrow f(q'_2(x_1), q''_2(x_1)) & q'_2(e_j) \rightarrow e \mid j = 1, 2 \\ q''_2(e_3) \rightarrow e' & q''_2(e_j) \rightarrow e \mid j = 1, 2. \end{array}$$

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Transduction:

input tree

a
|
e

→

T_1

→

intermediate
tree

q_1
|
a
|
e

→

T_2

→

output tree

Copying Rules

Transducer T_1 :

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input tree

a
|
e

→

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→

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q_1
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Transduction:

input tree

a
|
e

→

T_1

→

intermediate
tree

b
|
q₁
|
e

→

T_2

→

output tree

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Transduction:

input tree

a
|
e

→

T_1

→

intermediate
tree

b
|
q₁
|
e

→

T_2

→

output tree

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Transduction:

input tree

a
|
e

→

T_1

→

intermediate
tree

b
|
e₁

→

T_2

→

output tree

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Transduction:

input tree

a
|
e

→

T_1

→

intermediate
tree

b
|
e₁

→

T_2

→

output tree

q₂
|
b
|
e₁

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Transduction:

input tree

a
|
e

→

T_1

→

intermediate
tree

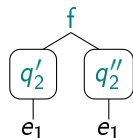
b
|
e₁

→

T_2

→

output tree



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Transduction:

input tree

a
|
e

→

T_1

→

intermediate
tree

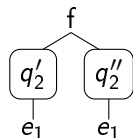
b
|
e₁

→

T_2

→

output tree



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Transduction:

input tree

a
|
e

→

T_1

→

intermediate
tree

b
|
e₁

→

T_2

→

output tree

f
/ \
e e

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a
|
e

→

T_1

→

intermediate
tree

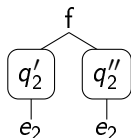
b
|
e₂

→

T_2

→

output tree



Copying Rules

Transducer T_1 :

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Transducer T_2 :

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Transduction:

input tree

a
|
e

→

T_1

→

intermediate
tree

b
|
e₂

→

T_2

→

output tree

f
/ \
e e

Copying Rules

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Transduction:

input tree

a
|
e

→

T_1

→

intermediate
tree

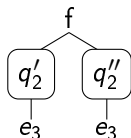
b
|
e₃

→

T_2

→

output tree



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Transduction:

input tree

a
|
e

→

T_1

→

intermediate
tree

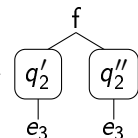
b
|
e₃

→

T_2

→

output tree



Naive Construction of N

$$(q_1, q_2)$$

Naive Construction of N

$$(q_1, q_2)(a(x_1))$$

$$q_1(a(x_1)) \rightarrow b(q_1(x_1))$$

Naive Construction of N

$$(q_1, q_2)(a(x_1))$$

$$q_1(a(x_1)) \rightarrow b(q_1(x_1))$$

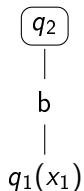
$$q_2(b(q_1(x_1)))$$

Naive Construction of N

$$(q_1, q_2)(a(x_1))$$

$$q_1(a(x_1)) \rightarrow b(q_1(x_1))$$

$$q_2(b(q_1(x_1)))$$



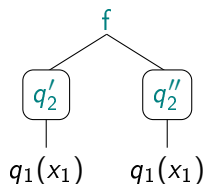
$$q_2(b(x_1)) \rightarrow f(q_2'(x_1), q_2''(x_1))$$

Naive Construction of N

$$(q_1, q_2)(a(x_1))$$

$$q_1(a(x_1)) \rightarrow b(q_1(x_1))$$

$$q_2(b(q_1(x_1)))$$



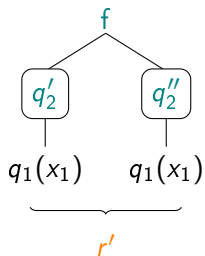
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Naive Construction of N

$$(q_1, q_2)(a(x_1))$$

$$q_1(a(x_1)) \rightarrow b(q_1(x_1))$$

$$q_2(b(q_1(x_1))) \Rightarrow^* r'$$



Naive Construction of N

$$(q_1, q_2)(a(x_1)) \rightarrow r$$
$$q_1(a(x_1)) \rightarrow b(q_1(x_1))$$
$$q_2(b(q_1(x_1))) \Rightarrow^* r'$$

$$\begin{array}{c} f \\ \swarrow \quad \searrow \\ (q_1, q'_2)(x_1) \quad (q_1, q''_2)(x_1) \\ \underbrace{\hspace{10em}} \\ r \end{array}$$

Naive Construction of N

Transducer N :

$$\begin{array}{ll} (q_1, q_2)(a(x_1)) & \rightarrow f((q_1, q'_2)(x_1), (q_1, q''_2)(x_1)) \\ (q_1, q''_2)(e) & \rightarrow e \end{array} \qquad \begin{array}{ll} (q_1, q'_2)(e) & \rightarrow e \\ (q_1, q''_2)(e) & \rightarrow e' \end{array}$$

Naive Construction of N

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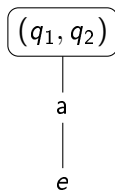
Transduction:

input tree

a
|
e



output tree



Naive Construction of N

Transducer N :

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Transduction:

input tree

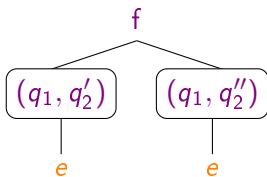
a
|
e



N



output tree



Naive Construction of N

Transducer N :

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$$(q_1, q'_2)(e) \rightarrow e$$

$$(q_1, q'_2)(e) \rightarrow e$$

$$(q_1, q''_2)(e) \rightarrow e'$$

Transduction:

input tree



output tree



Naive Construction of N

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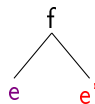
$$\begin{aligned}(q_1, q'_2)(e) &\rightarrow e \\ (q_1, q''_2)(e) &\rightarrow e'\end{aligned}$$

Transduction:

input tree



output tree



Problems of the naive Construction

input tree

a
|
e

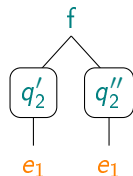


intermediate
tree

b
|
e₁



output tree



q'_2 and q''_2 process the tree e_1
produced by q_1 on input e

Problems of the naive Construction

input tree

a
|
e



T_1



intermediate
tree

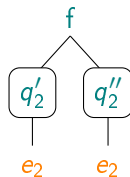
b
|
e₂



T_2



output tree



q'_2 and q''_2 process the tree e_2
produced by q_1 on input e

Problems of the naive Construction

input tree

a
|
e

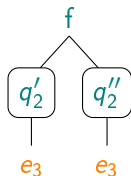


intermediate tree

b
|
e₃



output tree



q'_2 cannot process the tree e_3
produced by q_1 on input e

Problems of the naive Construction

input tree

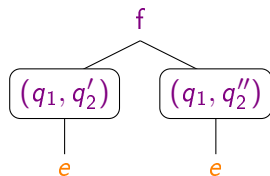
a
|
e



N



output tree



$$(q_1, q'_2)(e) \rightarrow e \begin{cases} q_1(e) \rightarrow e_1 \\ q'_2(e_1) \rightarrow e \end{cases}$$

$$(q_1, q'_2)(e) \rightarrow e \begin{cases} q_1(e) \rightarrow e_2 \\ q'_2(e_2) \rightarrow e \end{cases}$$

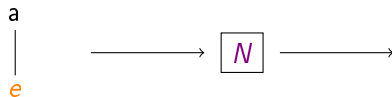
$$(q_1, q''_2)(e) \rightarrow e \begin{cases} q_1(e) \rightarrow e_1 \\ q''_2(e_1) \rightarrow e \end{cases}$$

$$(q_1, q''_2)(e) \rightarrow e \begin{cases} q_1(e) \rightarrow e_2 \\ q''_2(e_2) \rightarrow e \end{cases}$$

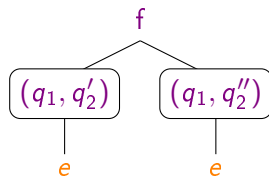
$$(q_1, q''_2)(e) \rightarrow e' \begin{cases} q_1(e) \rightarrow e_3 \\ q''_2(e_3) \rightarrow e' \end{cases}$$

Problems of the naive Construction

input tree



output tree



unfortunately, **synchronization** is not possible...

Observation

$$(q_1, q'_2)(e) \rightarrow e \begin{cases} q_1(e) \rightarrow e_1 \\ q'_2(e_1) \rightarrow e \end{cases}$$

$$(q_1, q'_2)(e) \rightarrow e \begin{cases} q_1(e) \rightarrow e_2 \\ q'_2(e_2) \rightarrow e \end{cases}$$

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Observation: It is sufficient that

- 1 (q_1, q'_2) only guesses trees in $\text{dom}(q'_2) \cap \text{dom}(q''_2)$
- 2 (q_1, q''_2) only guesses trees in $\text{dom}(q'_2) \cap \text{dom}(q''_2)$

Idea:

Modification of T_1 :

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- new states are of the form $(q_1, \{q'_2, q''_2\})$

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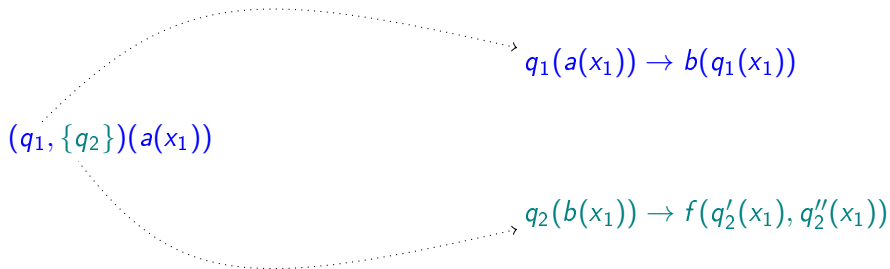
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How to obtain the modified T_1 ?

idea: T_1 simulates T_2 on its output



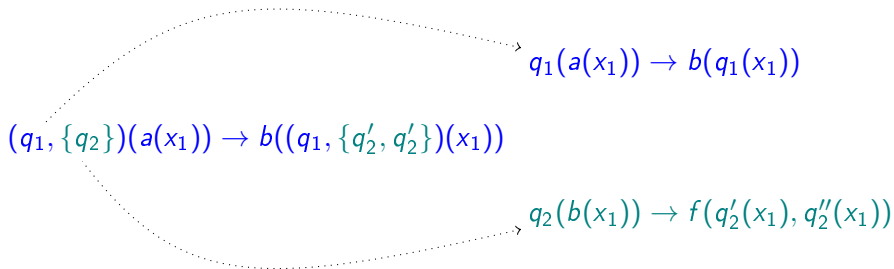
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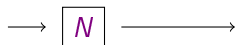


Idea:

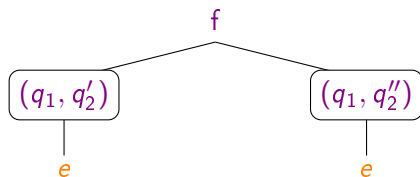
Naive construction with the modified T_1 :

input tree

a
|
e



output tree

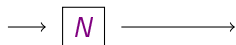


Idea:

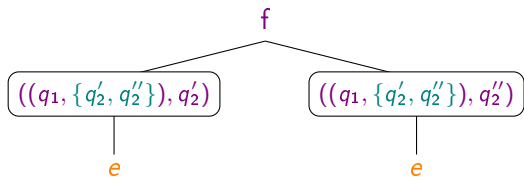
Naive construction with the modified T_1 :

input tree

a
|
e



output tree



Deleting Rules

Transducer T_1 :

$$\begin{aligned}q_1(a(x_1, x_2)) &\rightarrow b(q'_1(x_1), q''_1(x_2), q'''_1(x_2)) \\q'_1(e) &\rightarrow e\end{aligned}$$

$\text{dom}(q'_1)$ consists of trees whose leftmost leaf is e

$\text{dom}(q''_1)$ consists of trees whose leftmost leaf is c

Transducer T_2 :

$$\begin{aligned}q_2(b(x_1, x_2, x_3)) &\rightarrow q_2(x_1) \\q_2(e) &\rightarrow e_j \quad | j = 1, 2.\end{aligned}$$

Deleting Rules

Transducer T_1 :

$$\begin{aligned}q_1(a(x_1, x_2)) &\rightarrow b(q'_1(x_1), q''_1(x_2), q'''_1(x_2)) \\q'_1(e) &\rightarrow e\end{aligned}$$

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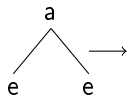
$\text{dom}(q''_1)$ consists of trees whose leftmost leaf is c

Transducer T_2 :

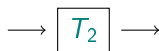
$$\begin{aligned}q_2(b(x_1, x_2, x_3)) &\rightarrow q_2(x_1) \\q_2(e) &\rightarrow e_j \quad | j = 1, 2.\end{aligned}$$

Transduction:

input tree



intermediate tree



output tree

Deleting Rules

Transducer T_1 :

$$\begin{aligned} q_1(a(x_1, x_2)) &\rightarrow b(q'_1(x_1), q''_1(x_2), q'''_1(x_2)) \\ q'_1(e) &\rightarrow e \end{aligned}$$

$\text{dom}(q'_1)$ consists of trees whose leftmost leaf is e

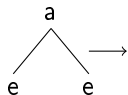
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Transducer T_2 :

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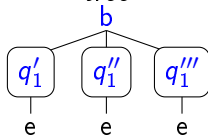
Transduction:

input tree

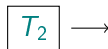


intermediate

tree



output tree



Naive Construction:

Transducer N :

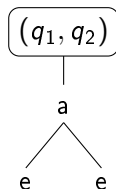
$$(q_1, q_2)(a(x_1, x_2)) \rightarrow (q'_1, q_2)(x_1) \quad (q'_1, q_2)(e) \rightarrow e_j \mid j = 1, 2$$

Transduction:

input tree



output tree



Naive Construction:

Transducer N :

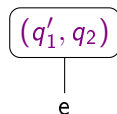
$$(q_1, q_2)(a(x_1, x_2)) \rightarrow (q'_1, q_2)(x_1) \quad (q'_1, q_2)(e) \rightarrow e_j \mid j = 1, 2$$

Transduction:

input tree



output tree



Naive Construction:

Transducer N :

$$(q_1, q_2)(a(x_1, x_2)) \rightarrow (q'_1, q_2)(x_1) \quad (q'_1, q_2)(e) \rightarrow e_j \mid j = 1, 2$$

Transduction:

input tree



output tree

e_1

Naive Construction:

Transducer N :

$$(q_1, q_2)(a(x_1, x_2)) \rightarrow (q'_1, q_2)(x_1) \quad (q'_1, q_2)(e) \rightarrow e_j \mid j = 1, 2$$

Transduction:

input tree



→



→

output tree

e_2

Idea:

Before processing a tree $a(s_1, s_2)$ with the rule

$$(q_1, q_2)(a(x_1, x_2)) \rightarrow (q'_1, q_2)(x_1) \left\{ \begin{array}{l} q_1(a(x_1, x_2)) \rightarrow b(q'_1(x_1), q''_1(x_2), q'''_1(x_2)) \\ q_2(b(x_1, x_2, x_3)) \rightarrow q_2(x_1) \end{array} \right.$$

test whether $s_1 \in \text{dom}(q'_1)$ and $s_2 \in \text{dom}(q''_1) \cap \text{dom}(q'''_1)$ using Look-Ahead

Result

Combining our ideas for **copying** and **deleting** rules yields:

Theorem

*Functionality for arbitrary compositions of top-down and bottom-up tree transducers is **decidable**. In the affirmative case, an equivalent deterministic top-down tree transducer with look-ahead can be constructed.*

Thank you for your Attention